



# ACME CAPITAL MARKET PVT. LTD.

Account Opening Form (Non - Individual)

## Unearthing interest in investments.

PLEASE READ THIS DOCUMENT CAREFULLY BEFORE AGREEING TO ITS CONTENTS AND ENSURE ALL THE DETAILS PROVIDED ARE CORRECT.

Any Comment For Account Opening Team Purpose	Sr. No.
Login ID / UCC : <input type="text"/>	LEAD ID: <input type="text"/>
Depository Service : <input type="checkbox"/> CDSL <input type="checkbox"/> NSDL (Select any one)	Wire ID: <input type="text"/>
CDSL-Client ID <input type="text"/>	NSDL-Client ID <input type="text"/>

	NAME	CODE
Marketing Executive (E1)		<input type="text"/>
Relationship Manager (E2)		<input type="text"/>
Remiser (if any)		<input type="text"/>
Branch/FAN		<input type="text"/>
Sourcing Channel		<input type="text"/>
Online software for trading. <input type="checkbox"/> Yes <input type="checkbox"/> No		<input type="text"/>

Process	Employee Code & Name	Process	Employee Code & Name
Pre-Audit Maker		Data Entry Maker	
Pre-Audit Checker		Data Entry Checker	



**ACME CAPITAL MARKET PVT. LTD.**

**LOGIN ID:**

**INDEX OF DOCUMENTS**

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4.	Rights & Obligations of Beneficial Owner & Depository Participant	Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories.		
5.	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market.		
6.	Guidance note	Document detailing do's and don'ts for trading on exchange, for the education of the investors.		
7.	Policies and Procedures	Documents describing significant policies and procedures of ACMPL related to Trading Account of the client.		
8.	Investor Charter for Stock Broker	Document detailing the services provided to Investors, Rights of Investors, various activities of Stock Brokers with timelines, DOs and DON'Ts for Investors and Grievance Redressal Mechanism		
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**INSTRUCTIONS/ CHECK LIST FOR FILLING KYC FORM****A. IMPORTANT POINTS:**

1. Self attested copy of PAN card is mandatory for all clients, including Promoters/Partners/Karta/Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government /judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

**B. Proof of Identity (POI): - List of documents admissible as Proof of Identity:**

1. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
2. PAN card with photograph.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

**C. Proof of Address (POA): - List of documents admissible as Proof of Address: (\*Documents having an expiry date should be valid on the date of submission.)**

1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook -- Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks / Scheduled Co-Operative Bank / Multinational Foreign Banks / Gazetted Officer / Notary public / Elected representatives to the Legislative Assembly / Parliament / Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central / State Government and its Departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII / sub account, DDPI given by FII/sub-account to the Custodians (which are duly notarized and / or apostiled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.
9. DDPI for Resident Individual Constituent opting for only Securities Segment under Saral KYC Form would be obtained vide **SEBI/HO/MIRSD/MIRSD-PoD-1/P/CIR/2022/137 dated October 06, 2022**

**D. Exemptions/clarifications to PAN - (\*Sufficient documentary evidence in support of such claims to be collected.)**

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50,000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the

PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

**E. List of people authorized to attest the documents:**

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

**INSTRUCTIONS/ CHECK LIST FOR ACCOUNT OPENING FORM - TRADING ACCOUNT**

1. Additional documents in case of trading in derivatives segments - illustrative list:

Copy of ITR Acknowledgement In case of salary income - Salary Slip, Copy of Form 16 Copy of demat account holding statement. Any other relevant documents substantiating ownership of assets.	Copy of Annual Accounts Net worth certificate  Bank account statement for last 6 months Self declaration with relevant supporting documents.
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- \*In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time.*
2. Copy of cancelled cheque leaf/ pass book/ bank statement specifying name of the constituent, MICR Code or/and IFSC Code of the bank should be submitted.
  3. Demat master or recent holding statement issued by DP bearing name of the client.
  4. For individuals:
    - a. Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker/sub-broker / AP's office.
    - b. In case of non-resident clients, employees at the stock broker's local office, overseas can do in-person' verification. Further, considering the infeasibility of carrying out 'In-person' verification of the non-resident clients by the stock broker's staff, attestation of KYC documents by Notary Public, Court, Magistrate, Judge, Local Banker, Indian Embassy/ Consulate General in the country where the client resides may be permitted.

**NOTES**

1. All communication shall be sent at the correspondence address/ mobile number/ e-mail ID of the Sole/ First Holder only.
2. Thumb impressions and signature other than English or Hindi or any of the other language not contain in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
3. Instructions related to nomination are as below:
  - I. The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non- individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of DDPI cannot nominate. If the account is held jointly all joint holders will sign the nomination form.
  - II. A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
  - III. The Nominee shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a DDPI holder. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
  - IV. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
  - V. Transfer of securities in favour of a Nominee shall be valid discharge by the depository against the legal heir.
  - VI. The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non- individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of DDPI cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.



- VII. On cancellation of the nomination, the nomination shall stand rescinded and the depository/ participant shall not be under any obligation to transfer the securities in favour of the Nominee.
- 4. for receiving statement of account in electronic Form:
  - I. Client must ensure the confidentiality of the password of the Email account/Mobile.
  - II. Client must promptly inform the ACME Capital Market PVT. LTD. if the email address has changed.
  - III. Client may opt to terminate this facility by giving 10 days prior notice. Similarly ACME Capital Market PVT. LTD. may also terminate this facility by giving 10 days prior notice
- 5. Strike of whichever is not applicable.

**ADDITIONAL INSTRUCTIONS**

- 1 **Minor:** Trading A/c cannot be opened in name of Minor; Demat A/c - Joint a/cs not permitted with minor; PAN and Proof of Address of both Minor and Guardian to be submitted
- 2 **Trading A/c** cannot be opened in joint names.
- 3 All **corrections** on the form should be countersigned with full signature. White ink is not allowed either in form or authorization.
- 4 In case of an employee of another Member Broker, NOC from employer is required.

Please note that the mode of payment should be only by way of account payee crossed cheques/Demand draft in favour of "ACME Capital Market PVT. LTD.- (Client's Login id)". No cash receipts payments will be entertained for any transactions made by the client. ACME will not be responsible for any kind of claims raised by the clients regarding payment made in cash. Mobile number is advisable for opening of Demat/ Trading account with ACME

**OTHER INFORMATION**

**LIST OF DESIGNATED BANKS (RETAIL)**

- 1. HDFC BANK      2. ICICI BANK      3. AXIS BANK
- 4. CITIBANK N.A    5. BANK OF BARODA    6. YES BANK

**LIST OF DESIGNATED DEPOSITORY PARTICIPANTS**

- 1. ACME Capital Market PVT. LTD,

**LIST OF DESIGNATED BANKS FOR PIS BANK ACCOUNTS (FOR NRI)**

- 1. AXIS BANK      2. HDFC BANK      3. Yes BANK
- 4. INDUSIND BANK    5. FEDERAL BANK    6. DCB BANK

**NOTE:** ACMPL reserves the right to make any modifications in the above lists.

**DISCLOSURE OF PROPRIETARY TRADING BY BROKER TO CLIENT:**

We refer to circular no. SEBI / MRD / SE / Cir.42 / 2003 dated 19/11/2003 issued by Securities and Exchange Board of India in connection with the above and in terms of the said circular we disclose that we have not undertaken proprietary trading, arbitrage as well as investment business on our account in addition to Client based business.

**Bank Verification Format**

To,  
 ACME Capital Market PVT. LTD.  
 Noida. UP  
 Dear Sir,

This is to certify that \_\_\_\_\_ (Name of the Client) Resident of \_\_\_\_\_  
 (Full Address) is holding a debit card no. \_\_\_\_\_ with our \_\_\_\_\_ Branch since \_\_\_\_\_.

The bank hereby certify and validate the bank accounts linked to the above debit card as per bank records is given below. Further the client undertakes to provide new bank verification letter in case of linking additional bank account no.

Account No.	Bank & Branch Details	IFSC Code	MICR Code

**Authorised Signatory**

(Bank Stamp and Bank official Details)



Client Signed in my presence and attested : \_\_\_\_\_

Name of Bank Manager: \_\_\_\_\_

Signature of Branch Manager: \_\_\_\_\_

MICR code of Branch \_\_\_\_\_



Intentionally left blank











4. DEPOSITORY ACCOUNT DETAILS

Depository Participant Name and Address		
Depository Name		
DP ID		BO Account Number:
Beneficiary Name		

5. COMTRACK ACCOUNT DETAILS :

CP Name & Address :		
CP ID :		
CMS (E) ID :		

6. COMRIS ACCOUNT DETAILS :

Comris Name & Address :		
ComRIS ID :		

7. DEALINGS THROUGH SUB-BROKERS / AP AND OTHER STOCK BROKERS

Sub-broker's / AP Name & Code:	If client is dealing through the sub-broker / AP provide the following details:	
Registered office address:		
SEBI / AP Registration No.:	Tel.	Fax
Authorised Person's Code :	Website:	
Name :		
Address :		
Name of stock broker:	Whether dealing with any other stock broker/sub-broker / AP (if case dealing with multiple stock brokers/ sub-broker / APs, provide details of all	
Name of Sub-Broker / AP, if any:		
Client Code:	Exchange:	
Details of disputes/dues pending from/to such stock broker/sub- broker / AP: _____		

8. CONSENT FOR RECEIVING SMS/EMAIL ALERTS FROM CONCERNED STOCK EXCHANGES

I/We wish to avail the SMS/Email alert facility offered by respective Exchanges showing details of my daily transactions executed through ACME Capital Market PVT. LTD on my e-mail id/ mobile no. provided in KYC Form.

(The above facility is provided by Exchanges and will be activated once the details are verified by Exchanges and confirmed by you)

Clients signature

18/26





CDSL DP ID:                      OR    NSDL DP ID:                      Client ID (To be filled by ACMPL) :

**C. ADDITIONAL INFORMATION FOR DEMAT ACCOUNT** (Please fill this form in ENGLISH and in BLOCK LETTERS)

I/We request you to open a depository account in my/our name as per the following details: (Please fill all the details in CAPITAL LETTERS only)

Date

**1. DEPOSITORY SERVICE**

I/We wish to open Depository Account with \_\_\_\_\_ (Please write CDSL or NSDL) (CDSL: \_\_\_\_\_ / NSDL: \_\_\_\_\_)

**2. TYPE OF ACCOUNT**

Body Corporate  Bank  FI  Mutual Fund  CM  FPI  Trust  HUF  Others (Please specify) \_\_\_\_\_

SEBI Registration No. \_\_\_\_\_ SEBI Registration Date : \_\_\_\_\_

RBI Registration No. \_\_\_\_\_ RBI Registration Date : \_\_\_\_\_

**3. SUB - TYPE OF ACCOUNT**

FPI I  FPI II  FPI III  OCB  Clearing House  Others (Please specify) \_\_\_\_\_

**4. For Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the demat account is opened in the name of the natural persons, the name & PAN of the Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned below**

a) Name  b) PAN

**5. PURPOSE OF TRADING**

**Nature of Business**  Private Sector  Agriculturist  Public Sector  Business  Professional  
 Manufacturer  Services  Trading  Consultancy  Others \_\_\_\_\_

**Client Category**  VCP  Exporter  Importer  Hedger  Financial Participant  
 Manufacturer  Services  Trading  Consultancy  Non Commercial Participant  
 Trader  Arbitrager  Commercial Participant  Others \_\_\_\_\_

**6. DETAILS OF ACCOUNT HOLDER(S)**

	Authorised Signatory	Authorised Signatory	Authorised Signatory
Client Name			
PAN	<input type="text"/>	<input type="text"/>	<input type="text"/>
SIGNATURE	<input type="text"/>	<input type="text"/>	<input type="text"/>

**6. STANDING INSTRUCTIONS**

- a. I/We would like to execute DDPI in favor of ACMPPL for  Demat A/C  Bank A/C  Bank & Demat A/C
- b. As I/We have issued DDPI in favour of ACME Capital Market Pvt. Ltd. Limited for executing delivery instructions for settlement of transactions executed through ACME Capital Market PVT. LTD. However, the DIS booklet  Required  Not Required should be issued to me/us immediately on my/our request at any later date. The Delivery Instruction Slip (DIS) for the time being,.
- c. SMS ALERT FACILITY On registered Mobile Number (Mandatory if you are giving DDPI)  Yes  No  
Ensure that the mobile number is provided in the KYC application form.
- d. I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end (If not marked, the default option would be 'No')  Yes  No
- e. Account Statement Requirement:  As per SEBI Regulations  Daily  Weekly  Fortnightly  Monthly
- f. I/We would like to receive Statement of Account / Transaction-cum-Holding Statement / Daily Margin Statement and All Other Statement at my email ID  Yes  No
- g. Whether you wish to receive:  Physical contract note  Electronic Contract Note (ECN)
- h. I/We would like to receive Annual Report in  Physical  Electronic  Both
- I. EASI facility [Facility through CDSL's website: www.cdslindia.com wherein a BO can view his ISIN balances, transactions and value of the portfolio online.]  Yes  No
- a. I/We authorise you to receive credits automatically into my/our account  Yes  No
- b. Do you wish to receive dividend/interest directly to your bank account given herein through ECS?  Yes  No
- c. Account to be operated through Demat Debit And Pledge Instruction (DDPI)  Yes  No
- II. I / We would like to share the email ID with the RTA  Yes  No
- III. I/We wish to receive/Dividend/interest directly in to my bank account as given below through ECS  Yes  No  
(if not marked, the default option would be "yes")  
(ECS is mandatory for location notified by SEBI from time to time)
- IV. I/We would like to maintain running account with ACME Capital Market Pvt. Ltd.  Yes  No  
If Yes, Funds & Securities settlement frequency  Quarterly  Monthly
- V. I/We would like to receive Client Copy containing following  Physical  Electronic
- Rights and Obligations of Stock Rights and Obligations of Stock Brokers, Sub-Broker / AP / APs and Clients as prescribed by SEBI and Exchanges
  - Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories
  - Risk disclosure Document for Capital, Currency, Stock and Commodity Derivatives Segment
  - Guidance Note-Do's and Don'ts for Trading on the Exchange (s) for Investors
  - Policies and Procedures applicable to clients.

**DECLARATION**

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am / we are aware that I/we may be held liable for it.
2. I/We confirm having received a copy of the following documents and further confirm having read and understood the contents of these documents:-
- Rights and Obligations of Stock Brokers, Sub-Brokers and Clients as prescribed by SEBI and Stock Exchanges
  - Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories
  - Risk disclosure Document for Capital and Derivatives Segment
  - Guidance Note-Do's and Don'ts for Trading on the Exchange (s) for Investors
  - Policies and Procedures applicable to clients.
- I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker, tariff sheet, voluntary & non voluntary documents. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any. I/We further understand that any change in the said documents including the "Policies and Procedures" shall be made available on the website of ACMPPL and also intimated to me through circulars in internet trading platform/e-mails. I/We shall keep myself updated of the same <https://acmecapitalmarket.com>



3. I/We have read and understood the rules and regulations of the Depository and Depository Participants and I/We agree to abide and bind by the rules which are in force in force from time to time pertaining to my/our account. Further in respect of Government Securities (G-Sec), I/We will submit only those inter depository transfer instructions which are bonafide and arising out of genuine trade or transfer transaction.

4. In case non-resident account, I/We also declare that I/We have complied and will continue to comply with FEMA regulation. I have informed the Bank that I will be trading through ACME Capital Market Pvt. Ltd. and to honor all relevant requests. In case Bank is not been mapped or Tagged to ACME Capital Market Pvt. Ltd, as my registered broker, IIFL will not be responsible for any defaults thereof.

5. I/We hereby consent to receive information from central KYC Registry through SMS/Email on the registered number / email address.

6. I/We the undersigned, have taken cognizance of circulars issued by SEBI / Commodity Exchanges from time to time on the guidelines for calculation of net open positions permitted in any commodity and/we hereby undertake to comply with the same.

I/We hereby declare and undertake that I/We will not exceed the position limits prescribed from time to time by Commodity Exchanges or SEBI and such position limits will be calculated in accordance with the circulars on position limits as modified from

time to time. I/We undertake to inform you and keep you informed if I / any of our partners / directors / karta / trustee or any of the partnership firms/companies / HUFs / Trusts in which I or any of above such person is a partner / director / karta / trustee, takes or holds any position in any commodity forward contract / commodity derivative on Exchanges through or through any other member(s) or Exchanges, to enable you to restrict our position limit as prescribed by the above referred circular of Exchanges as modified from time to time.

I/We confirm that you have agreed to enter order in commodity forward contracts / commodity derivatives for me / us as your clients on Commodity Exchanges only on the basis of our above assurances and undertaking. I/We further undertake to bear any liable/penalty/charges levied by Commodity Exchanges/ SEBI.

7. GST details furnished are true and genuine along with proof of GST no. If no GST details furnished then, it shall be deemed that you are unregistered under GST. In case there is any change in correspondence address, we will charge GST based on the address available on record, unless intimated to us. We would not be responsible for any non-receipt/short-receipt of GST credit due to incorrect/inaccurate/incomplete information provided and any loss, damage, cost and/or expenses suffered/incurred by us due to non-reversal of GST credit, act, omission, false statement suppression of fact and/or any breach would be borne by you.

Name and Signature of Authorised Signatory(ies) [Enclose a Board Resolution for Authorised Signatories]

Sr. No.	AUTHORISED SIGNATORY NAME	SIGNATURE
1.		
2.		
3.		
4.		
5.		

Date

MODE OF OPERATION (In case of joint holdings, all the holders/ Authorised Signatories must sign)

<input type="checkbox"/> ANY ONE SINGLY	<input type="checkbox"/> AS PER RESOLUTION
<input type="checkbox"/> JOINTLY BY	<input type="checkbox"/> OTHERS (PLEASE SPECIFY)

Date: \_\_\_\_\_

Place \_\_\_\_\_



**TARIFF SHEET**
**SCHEDULE OF TRADING ACCOUNT CHARGES AND BROKERAGE**

Rs. 750/-\* (inclusive GST) to be reversed against brokerage within 90 days of account opening.
  Rs. 1000/-\* (inclusive GST) to be reversed against brokerage within 1 year of account opening.
  VAS Scheme (if any)

**\*\* No charges are payable for opening of demat accounts.**

BROKERAGE (CASH)	Intra-day	Delivery: (Normal settlement Exchange Trade for Trade and Z group scrip)	Brokerage (Futures)	Brokerage (Options) Minimum	Minimum Brokerage	Auction Charges	Currency Brokerage (Futures)	Currency Brokerage (Options)
<b>STANDARD RATE</b>	0.05%	0.50% 0.75%	0.05%	1% of Premium or Rs. 100/- per lot whichever is higher	0.05 per share or 0.05% whichever is higher	1.00%	Rs. 25 per lot	Rs. 10 per lot
<b>QUOTEDRATE</b>								

**SCHEDULE OF BROKERAGE AND OTHER CHARGES**

Product	MCX	NCDEX
	Transaction Charges	Transaction Charges
Agri	Rs. 0.75 Per Lac	Rs. 1.95 Per Lac
Non Agri	Rs.2.1 Per Lac	Rs. 0.4 Per Lac

  

Brokerage	Standard Brokerage	Quoted Brokerage
First Leg	0.05%	
Square Off	0.05%	
Minimum per unit	Rs. 0.05	
Delivery Normal settlement	0.25%	

Remark : \_\_\_\_\_

**SCHEDULE OF DEMAT ACCOUNT CHARGES AND OTHER CHARGES**

**A/c Maintenance Charges\*** :  Yearly Rs. 400/- + GST
 **Credit Charges:** NIL
 **Debit Charges:** 0.04% (Minimum Rs. 25 per debit transaction) NIL For Mutual Funds

Depository Account Maintenance charges (AMC) Yearly Rs. 500/-

\*The charges will be pro-rated monthly from the month in which the account is opened till the end of the financial year. For subsequent financial years, the same is payable at the beginning of the financial year

\*\*AMC and other charges will be debited to your ledger on yearly and monthly basis respectively

Other Charges	Pledge creation	Pledge Closure	DEMAT - Per certificate	REMAT - Per certificate OR Per 100 Units/shares (Whichever is higher)	Margin Pledge initiation and Pledge release from Client to Stock Broker (Nil for Mutual Funds)	Margin Re-pledge initiation and Re-pledge release from Stock Broker to Clearing Member(CM) (Nil for Mutual Funds)	Margin Re-pledge initiation and Re-pledge release from CM to Clearing Corporation (CC) (Nil for Mutual Funds)	Margin Pledge Invocation charges by Stock Broker/ CM/CC	Re-issuance of (Additional) New DIS
<b>Standard Charges</b>	Rs. 50 NIL For Mutual Funds	—	Rs. 15***	Rs. 15***	Rs. 10***	Rs. 1***	Rs. 1***	Rs. 15***	Booklet Rs. 100 (including Courier charges + GST as applicabel)

Other Charges	Conversion of MF units/ Destatmentisation Per SOA	Reconversion of MF units in to SOA or Redemption/ Restatmentisation Per SOA	***Postal Charges per request shall be charged separately	Rejection/ Failure per entry
Standard Charges	NIL	NIL	Rs. 40	-

**NOTES :**

- Physical print copy of digital contract note can be availed from the branches.
- Document handling charge of Rs. 50/- shall be applicable:
  - \* Customer who has opted for receiving documents by electronic mode: In case such customer also intends to receive documents (contract notes and other related documents) by physical mode.
  - \* Customer who has opted for receiving documents by physical mode: In case such customer intends to receive additional/duplicate copies of documents (contract notes and other related documents) by physical mode. This charge may be partly/fully waived off for high networth /large customer at the discretion of ACMPL.
- The above charges are exclusive of GST, Securities Transaction Tax, other charges and stamp duty. The same shall be charged to the Client's account on actual basis.
- Inter/settlement/ Beneficiary to pool transfer would be charged 0.04% (Minimum Rs. 25) per scrip plus GST.
- Charges/service standards are subject to revision at sole discretion of ACME Capital Market Pvt. Ltd. and as informed by communication sent by ordinary post/ E-mail.
- Value of the transaction will be in accordance with rates provided by Depository.
- Statutory and other levies being charges related to the execution and/or settlement of transactions shall be separately chargeable as in force from time to time.
- Charges quoted above are for the services listed. Any service not quoted above will be charged separately.
- Customer is required to maintain a minimum balance of Rs.1000/- in his/ her ledger account.
- No refund of account opening charges would be given if the account could not be opened due to non submission of complete documents by the client or due to lack of desired co-operation from the client.
- Management reserves the right to freeze, discontinue or suspend any account if required.
- In case of buy / sell of same security during the day, the brokerage will be levied on higher side of the transaction amount / price for both intra-day and delivery transactions.
- Call center service charges of Rs. 100 per call + Applicable GST and other taxes.
- The minimum aggregate brokerage will be Rs. 25 per scrip per day for delivery trades in cash segment, subject to regulatory caps, as applicable.
- Brokerage is also applicable on Expiry / Exercise / Assignment of Options and Futures Contract
- GST details furnished are true and genuine along with proof of GST no. If no GST details furnished then, it shall be deemed that you are unregistered under GST.
- In case there is any change in correspondence address. We will unless intimated to us, charge GST basis the address available on record. We would not be responsible for any non-receipt/short-receipt of GST credit due to incorrect/inaccurate/incomplete information provided and any loss, damage, cost and/or expenses suffered/incurred by us due to non-reversal of GST credit, act, omission, false statement suppression of fact and/or any breach would be borne by you.

I/ We have understood and accepted the Schedule of Charges as stated here in above.

	Authorised Signatory	Authorised Signatory	Authorised Signatory
<b>SIGNATURE</b>			

Branch Official's Name \_\_\_\_\_ Branch Official's Signature

Date : \_\_\_\_\_

Place : \_\_\_\_\_



## ACME CAPITAL MARKET PVT. LTD.

208 & 209, Ocean Complex,  
P Block, Sector 18, Noida - 201301  
8800505069 | 8800505079

Date :	<input type="text"/>	NSDL DP ID-	<input type="text"/>
Login ID :	<input type="text"/>	NSDL DP ID-	<input type="text"/>
CDSL DP ID-	<input type="text"/>	NSDL DP ID-	<input type="text"/>
CDSL DP ID-	<input type="text"/>	NSDL DP ID-	<input type="text"/>
PAN	<input type="text"/>	NSDL DP ID-	<input type="text"/>

To,  
ACME CAPITAL MARKET PVT. LTD.  
Noida - 201301

### Demat Debit and Pledge Instruction

- Transfer of securities held in the beneficial owner accounts of the client towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by clients on the Stock Exchange through ACME Capital Market Pvt. Ltd.
- Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM)/ Clearing Corporation (CC) for the purpose of meeting margin requirements of the clients in connection with the trades executed by the clients on the Stock Exchange.  
**Note:-** For NRI clients the Pledge / Re-pledge of securities would be extended once the same is enabled by NSDL/ CDSL.
- Mutual Fund transactions being executed on Stock Exchange order entry platforms.
- Tendering shares in open offers through Stock Exchange platforms.

Sole/First Holder Name

Sole/First Holder Name or  
authorized signatory

Second Holder Name

Second Holder Name or  
authorized signatory

Third Holder Name

Third Holder Name or  
authorized signatory

Sole/First Holder Signature



Second Holder Signature



Third Holder Signature





(This document is Mandatory in case of HUF account executing Demat Debit And Pledge Instruction )

**NO OBJECTION CERTIFICATE/ DEMAT DEBIT AND PLEDGE INSTRUCTION  
[HUF DEMAT/ TRADING ACCOUNT]**

To,  
ACME CAPITAL MARKET PVT. LTD.  
Noida - 201301

Dear Sir/ Madam,

**Sub: Declaration of Coparcener(s)**

I/We hereby declare that I/we are coparceners of the HUF \_\_\_\_\_ with \_\_\_\_\_ as "Karta". I/We have opened Trading and/or Demat account with you under HUF category. I/We hereby declare that I/We am/are authorizing the said Karta to execute the transactions and to do all such needful acts/deeds in our Demat/Trading account. I/We further authorize the Karta to appoint any other attorney and authorize him/her/it to act on behalf of the HUF and Coparcener(s) with regard to the operations of the said Demat/Trading account. The details of our account are given below:

**BO ACCOUNT DETAILS:**

DP ID: \_\_\_\_\_ CDSL DP ID: \_\_\_\_\_ OR NSDL DP ID: \_\_\_\_\_ Client ID: \_\_\_\_\_ Login ID: \_\_\_\_\_

**LIST OF CO-PARCENERS:**

Sr. No.	Name	Sex [M/F]	Date of Birth	Relationship with Karta	Coparcener/ Member (please specify)	PAN No.	Signature
1.							<input type="text"/>
2.							<input type="text"/>
3.							<input type="text"/>
4.							<input type="text"/>
5.							<input type="text"/>
6.							<input type="text"/>

I/We hereby state that the details mentioned as above are true and any change in them would be intimated to you in writing.

Name of the Karta \_\_\_\_\_

Signature & Stamp of Karta alongwith stamp of HUF:

Place : \_\_\_\_\_

Date : \_\_\_\_\_

**FATCA - CRS DECLARATION FOR ENTITIES**

**(Please Seek Advice From Your Tax Adviser And/or Refer Fatca & Crs Guidelines For Tax Residency & Other Related Information In This Form)**

**PART - I**

A.	Is the account holder a Government body/ International (Organization/listed company on recognized stock exchange.)	<input type="checkbox"/> <b>Yes</b> , please specify name of Stock exchange, if you are listed company _____, and proceed to sign the declaration
		<input type="checkbox"/> <b>No</b> , then proceed to point B
B.	Is the account holder a (entity/financial institution) tax resident of any country other than india	<input type="checkbox"/> <b>Yes</b> , then Please fill of FATCA/CRS self certification Form
		<input type="checkbox"/> <b>No</b> , Proceed to Point C
C.	Is the account holder and indian financial institution	<input type="checkbox"/> <b>Yes</b> , Please provide your GIIN, if any _____
		<input type="checkbox"/> <b>No</b> , Proceed to Point D
D.	Are the substantial owners or controlling persons in the entity or chain of ownership resident for tax purpose in any country outside India or not and Indian Citizen	<input type="checkbox"/> <b>Yes</b> , (then please fill FATCA/CRS self certification Form)
		<input type="checkbox"/> <b>No</b> , Proceed to sign the Declaration

**CLIENT DECLARATION**

**Under Penalty of perjury, I/We Certify that:**

1. The Applicant Is : \_\_\_\_\_
  - I. An applicant taxable as a US person under the laws of the united states of America("U.S.") or any state or political sub division there of or therein, including the district to Columbia or any other states or the U.S.
  - II. An estate the income of which is subject to U.S. federal income Tax regardless of the source thereof. (this clause is applicable only if the account holder is identified as a us person)
2. The Applicant is an applicant taxable as a tax resident under the lows of country outside India.
  - I. I/We understand that the bank is relying on this information for the purpose of determining the status of the applicant named above in compliance with FATCA/CSR. The bank is not able to offer any tax advice on FATCA/CSR or its impact on the applicant.
  - II. I/We shall seek advice from professional tax advisor for any tax questions.
  - III. I/We agree to submit a new form within 30day's if any information or certification on this form becomes incorrect.
  - IV. I/We agree that as may be required by domestic regulators/tax authorities the bank may also be required to report, reportable details to CDBT or close or suspend my account
  - V. I/We certify that I/We provide the information on this form and to the best of my/our knowledge and belief the certification is true, correct, and complete including the taxpayer identification number of the applicant

Name of the Entity :

Date

	Authorised Signatory	Authorised Signatory	Authorised Signatory
<b>SIGNATURE</b>			



PART II
SELF CERTIFICATION FORM (EQUITY) FOR FOREIGN ACCOUNT TAX COMPLIANCE ACT ("FATCA")
AND COMMON REPORTING STANDARD.

Section 1: Entity Information

Table with 7 rows for entity information including Name of Entity, Customer Id, Entity Constitution Type, Entity Identification No, and Entity Identification issue Country.

Section 2: Classification of Non-Financial Entities

Table for classification of non-financial entities with sections A, B, and C, including questions about US/India incorporation and FATCA/CRS classification.

Section 3: Classification of financial institutions (Including Bank)

Table for classification of financial institutions with sections A and i, including questions about U.S. financial institution status and GIIN.



	FATCA classification	Please provide the global intermediary identification number (GIIN) or other information where
<input type="checkbox"/>	Reporting Foreign financial institution in a model 1 inter governmental agreement ("IGA") jurisdiction	
<input type="checkbox"/>	Reporting foreign financial institution in a model 2 IGA jurisdiction	
<input type="checkbox"/>	Participating FFI IN a Non-IGA jurisdiction	
<input type="checkbox"/>	Non-reporting FI	
<input type="checkbox"/>	Non-Participating FI	
<input type="checkbox"/>	Owner-Documented FI with specified US owners	

**Section 4 : Controlling person Declaration**

**If you are Classified as "passive NFFE with Controlling person(s)" or "Owner documented FFI" or "Specified US person", please provide the following details**

Name of Controlling Person	Correspondence Address	Country of Resident for Tax Purpose	TIN	TIN issuing Country	Controlling Person Type
Details	Controlling Person 1	Controlling Person 2	Controlling Person 3	Controlling Person 4	Controlling Person 5
Identification Type	<input type="checkbox"/> A - Passport <input type="checkbox"/> B - Election Id Card <input type="checkbox"/> C - PAN Card <input type="checkbox"/> D - ID Card <input type="checkbox"/> E - Driving License <input type="checkbox"/> G - UIDAI Letter <input type="checkbox"/> H - NREGA Job Card <input type="checkbox"/> Z - Others <input type="checkbox"/> X - Not Categorised	<input type="checkbox"/> A - Passport <input type="checkbox"/> B - Election Id Card <input type="checkbox"/> C - PAN Card <input type="checkbox"/> D - ID Card <input type="checkbox"/> E - Driving License <input type="checkbox"/> G - UIDAI Letter <input type="checkbox"/> H - NREGA Job Card <input type="checkbox"/> Z - Others <input type="checkbox"/> X - Not Categorised	<input type="checkbox"/> A - Passport <input type="checkbox"/> B - Election Id Card <input type="checkbox"/> C - PAN Card <input type="checkbox"/> D - ID Card <input type="checkbox"/> E - Driving License <input type="checkbox"/> G - UIDAI Letter <input type="checkbox"/> H - NREGA Job Card <input type="checkbox"/> Z - Others <input type="checkbox"/> X - Not Categorised	<input type="checkbox"/> A - Passport <input type="checkbox"/> B - Election Id Card <input type="checkbox"/> C - PAN Card <input type="checkbox"/> D - ID Card <input type="checkbox"/> E - Driving License <input type="checkbox"/> G - UIDAI Letter <input type="checkbox"/> H - NREGA Job Card <input type="checkbox"/> Z - Others <input type="checkbox"/> X - Not Categorised	<input type="checkbox"/> A - Passport <input type="checkbox"/> B - Election Id Card <input type="checkbox"/> C - PAN Card <input type="checkbox"/> D - ID Card <input type="checkbox"/> E - Driving License <input type="checkbox"/> G - UIDAI Letter <input type="checkbox"/> H - NREGA Job Card <input type="checkbox"/> Z - Others <input type="checkbox"/> X - Not Categorised
Identification Number					
Occupation Type	<input type="checkbox"/> S - Service <input type="checkbox"/> B - Business <input type="checkbox"/> O - Others <input type="checkbox"/> X - Not Categorised	<input type="checkbox"/> S - Service <input type="checkbox"/> B - Business <input type="checkbox"/> O - Others <input type="checkbox"/> X - Not Categorised	<input type="checkbox"/> S - Service <input type="checkbox"/> B - Business <input type="checkbox"/> O - Others <input type="checkbox"/> X - Not Categorised	<input type="checkbox"/> S - Service <input type="checkbox"/> B - Business <input type="checkbox"/> O - Others <input type="checkbox"/> X - Not Categorised	<input type="checkbox"/> S - Service <input type="checkbox"/> B - Business <input type="checkbox"/> O - Others <input type="checkbox"/> X - Not Categorised
Occupation					
Birth Date					
Nationality					
Country of Birth					

**Section 5 : Declaration****I. Under Penalty of Perjury, I/We Certify That:**

1. The Number shown on this form is the correct taxpayer identification number of the applicant, and
  2. The applicant is (i) an applicant taxable as a US person under the laws of the United states of America("U.S") or any state or political subdivision thereof or therein, including the district of Columbia or any other states of the U.S., (ii)an estate the income of which is subject to U.S federal income tax regardless of the source thereof or
  3. The applicant is an applicant taxable as a tax resident under the laws of country outside India.
- II. I/We understand that the Bank is relying on this information for the purpose of determining the status of the applicant named above in compliance with CRS/FATCA. The Bank is not able to offer any tax advice on CRS or FATCA or its impact on the applicant. I/We shall seek advice from professional tax advisor for any tax questions,
- III. I/We agree to submit a new form within 30 days if any information or certification on this form gets changed.
- IV. I/We agree as may be required by/Regulatory authorities, Bank shall be required to comply to report, reportable details to CDBT or Close or suspend My account.
- V I/We certified that I/We provide the information on this form and to the best of my/Our knowledge and belief the certification is true, correct and complete including the tax payer identification number of the applicant.

I/We here by confirm that details provided are accurate, Correct and complete

	Authorised Signatory	Authorised Signatory	Authorised Signatory
<b>SIGNATURE</b>			

Name \_\_\_\_\_ Date \_\_\_\_\_





## AUTHORIZATION LETTER

To,

Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

**ACME CAPITAL MARKET PVT. LTD.**

208 & 209, Ocean Complex,  
P Block, Sector 18, Noida - 201301  
8800505069 | 8800505079

Sir,

**A. Availing Mutual Fund Service System (MFSS) facility/ BSE StAR MUTUAL FUND (hereinafter jointly referred to "Mutual Fund Transaction Facilities") (Applicable in case opted)**

I/We am/are interested in availing the trading facility of the Exchanges for the purpose of transacting in the units of Mutual Funds Schemes permitted to be dealt with on the MFSS and BSE StAR MUTUAL FUND of NSE and BSE respectively.

I/We therefore request you to register me/us as your client for participating in the Mutual fund transaction facilities (MFSS and BSE StAR MUTUALFUND).

I/We are willing to abide by the terms and conditions as mentioned in the NSE Circular dated 24/11/09, BSE Circular dated 02/12/2009 and further circulars as may be specified by the Exchanges from time to time in this regard.

I/We shall also ensure compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI). For the said purpose of availing these facilities, I/We state that Know Your Client details as submitted by me/us for the stock broking may be considered for the purpose and I/We further confirm that the details contained in same remain unchanged as on date.

I/We shall read and understand the contents of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which I/We choose to subscribe/redeem. I/We further agree to abide by the terms and conditions, rules and regulations of the Mutual Fund Schemes.

With reference to my application for registering as a client for Mutual Fund Transaction facilities offered by NSE and BSE, I/We hereby undertake to provide funds to the Participant equivalent to the subscription amount and applicable brokerage/ fees/ charges before applying for mutual fund units through the Participant.

For this purpose, I/We hereby authorize ACME Capital Market Pvt. Ltd. to utilize the surplus funds in my/our Broking account held with ACME Capital Market Pvt. Ltd. in its capacity as a Stock Broker and transfer funds to the extent of subscription amount and/or applicable brokerage, fees, charges and other statutory levies (in case of both subscription and redemption) and/or any other obligation to meet the debit in my/ our Mutual fund transaction account.

My/our exposure limits in my trading account shall be reduced to the extent of the amount utilized for transacting in the mutual fund units as per my instruction. In case of subscription request, I/We hereby understand and agree that based on my instruction, the amount will be debited in my Mutual fund transaction account and surplus funds shall be transferred from my Broking account to my mutual fund transaction account as per this authority letter. I/We further agree and understand that such funds will be used by the Participant for applying for mutual fund units after deducting the applicable brokerage, fees, charges and other statutory levies. I/We agree and undertake to make good such shortfall immediately as may be informed by ACME Capital Market Pvt. Ltd.

In case of a redemption request, I/We agree and authorise ACME Capital Market Pvt. Ltd. to debit my mutual fund transaction account to the extent of applicable brokerage, fees, charges and other statutory levies and further authorize to utilize the surplus funds in my/our Broking account held with ACME Capital Market Pvt. Ltd. in its capacity as a Stock Broker and transfer funds to the extent of applicable brokerage, fees, charges and other statutory levies (in case of both subscription and redemption) and/or any other obligation to meet the debit in my/our Mutual fund transaction account.

**B. Authorization for Systematic Investment in Mutual Fund / Securities (Applicable in case opted)**

I/We hereby authorize ACMPL to execute an order in my/our account held with ACMPL for purchase of the securities and/or mutual fund units under systematic investment plan on my/ our behalf as per my/our instructions.

I/We further agree and understand that all the terms and conditions of the trading agreement/terms and condition entered into/agreed with ACMPL shall be also applicable to the instructions for systematic investment in mutual fund units / securities and I/We hereby agree to abide by the same at all times.

I/We understand that the instructions for systematic investment can be given by me in writing or through the online facility provided by ACMPL or over recorded telephone line. I/We hereby agree and understand that the said instructions shall be valid and binding upon me/us until modified and/or cancelled by me/us by giving valid instruction to ACMPL either in writing or through the online facility provided by ACMPL.

I/We hereby undertake to give an ECS mandate/ standing instruction in favor of ACMPL towards my/our trade obligations arising out of this authorization or I/we hereby authorize ACMPL to execute order under SIP on the basis of clear fund available in my ledger account and as per risk management policy.

I/We hereby authorize ACMPL to debit my/our trading account held with ACMPL towards my/our trade obligations and all the fees, charges, taxes, brokerage etc due and payable by me/us.

I/We understand that this authorization can be revoked by me/us at any time by giving a written notice atleast 30 days in advance to ACMPL. I/We understand that such revocation shall be effective from the date of receipt of confirmation of the same from ACMPL. I/We agree and undertake to fulfill all my/our obligations as per the instructions for systematic investment arising in my/ our account before the effective date of such revocation.

I/We agree to abide by the Terms and Conditions applicable for Systematic Investment in Mutual Fund and/or Securities and any amendments thereto at all times.

I/We undertake to indemnify and keep indemnified ACMPL and its directors / officers for all trades executed on my / our behalf as per the instructions given by me/us.

### **C. RUNNING ACCOUNT AUTHORISATION (*Applicable in case opted*)**

Authorisation to ACME Capital Market Pvt. Ltd.

As per clause 2 of the circular, the provisions with regard to running account settlement of clients' funds and securities specified in SEBI Circulars MIRSD/SE/Cir-19/2009 dated December 03, 2009 and SEBI/HO/MIRSD/MIRSD2/ CIR/P/2016/95 dated September 26, 2016 shall stand modified and the said circulars shall be applicable only as guidelines for running account settlement of clients' "funds" only. However, it will be ensured that excess securities, so held in "Client Collateral/Collateral" account are released to clients along with their funds' settlement (i.e. once in every 30/90 days) after making necessary retention.

- a) I would like to keep securities purchased by me with you as collateral for giving me exposure limits in my trading account/margin obligation. I authorize you not to transfer shares/securities purchased by me to my demat beneficiary account and keep them with yourselves. I also authorise you to pledge these shares/ securities with the Clearing Member/ Exchange/Custodian, at your discretion. In case any of the shares/securities are sold in subsequent settlements, you may take this as a standing instruction to adjust against my previous purchase positions in any of Exchanges.
- b) Whenever I require delivery of any shares/securities, I shall send you a written request. I understand that such payout of securities will be made subject to your risk management policies.
- c) In case there is a debit balance in my account, you are authorized to sell at any point of time the shares/ securities held by me/ held on my behalf, at your sole discretion. Any profit or loss made on such transactions will be to my account as it would have occurred on normal purchase/sale made by me. I also agree to pay the balance amount, if any after deducting credit of sale of shares.
- d) I also request you not to issue cheques /make payments settlement wise unless specifically demanded by me, as payin and payout are very close and therefore exchange of cheque becomes unnecessary paper work.
- e) I/We understand and agree that no interest will be payable to me/us on the amounts or securities so retained with you.
- f) I further authorise you to set off/ adjust any of my debits/dues in any segment of any Exchange with credits of any other segment(s) of any Exchange(s) in my account within the period of settlement of my account (Securities and Funds) as opted by me.
- g) The Securities lying in client beneficiary account on my/our behalf and/or credit balances lying in my/our ledger account of any segments of any Exchanges can be considered as margin for the any segments of any Exchanges.
- h) I/We understand that this authorization shall remain valid until revoked by me/us. I/we undertake to clear all my dues arising during the validity of this authorization else the member shall recover all my/our dues in my/our ledger till date. I also undertake to clear all my dues to you before serving revocation of this authorisation else the member will recover all my dues in my ledger till date and then effect the revocation.
- i) I/We further wish to have settlement of my account (funds and securities) on quarterly / monthly basis as opted by me/us here in before.

I/We understand that settlement amount shall be subject to retention of requisite securities/ funds towards outstanding obligations and margins in my account calculated in the manner specified by SEBI/ Exchange and details mentioned in the "Statement of Account" at the time of settlement. I /We authorise you to send the statement of account on funds and

securities as on the date of settlement to my internet trading account or e-mail id registered with you. I /We understand that I /We can obtain a copy of the same from any of your Branch offices.

I /We understand and authorize that you will not release the payout in case the balance after necessary retention is upto Rs.10000/- or any other sum as specified by Exchanges from time to time in any of the quarters, till my revocation in writing as I /We will be doing regularly trading with you.

I also undertake to bring any dispute arising from Statement of accounts or settlement so made to your notice within seven working days from the date of receipt of funds/securities or Statement as the case may be.

So kindly maintain my account as a running account basis for funds and securities.

**D. AUTHORISATION FOR RECEIPT OF CONTRACT NOTES AND OTHER DOCUMENTS IN DIGITAL MODE (*Applicable in case opted*)**

I/We hereby consent to receive from ACME Capital Market Pvt. Ltd. the digital contract notes, bills, ledgers, transaction statements, demat statement of accounts/ holding statement(s)/bills/other statements, notices, circulars, amendments and such other correspondence documents, records by whatever name called in electronic form duly authenticated by means of a digital signature as specified in the Information Technology Act 2000 and the rules made thereunder to my registered email id. I/We further hereby agree that the ACME Capital Market Pvt. Ltd. shall fulfill the legal obligation, if the above documents are sent electronically to the said e-mail id and I/We am/are aware that I/we will not receive the statements / documents in paper form. In this regard, I/we further confirm and agree that:

- (i) The above is my/ our personal E-mail id. I/ We shall take all necessary steps to ensure confidentiality and the secrecy of the login and password of the abovementioned e-mail account. ACMPL shall not be liable to or responsible for any breach of secrecy. I/We am/are aware that the statements/ documents may be accessed by other entities in case the confidentiality/secrecy of the login name and password is compromised. I/We will be solely responsible for the security of the information provided on my/ our aforesaid e-mail id.
- (ii) E-mails sent to any of the above mentioned e-mail account, which have not bounced back, shall be deemed to be duly delivered to the me/us.
- (iii) ACMPL shall not take cognizance of out-of -office/ out of station auto replies and I/We shall be deemed to have received such electronic mails.
- (iv) Such Statements shall be deemed to have been delivered on the day when the e-mail is sent by ACMPL.
- (v) I/We further agree that ACMPL may at its sole discretion also provide / discontinue such statements in physical form.
- (vi) ACMPL shall not be liable or responsible for any Statement received from frauds or impostors or any consequences thereof.
- (vii) ACMPL shall not be liable for any problem, which arises at my/our computer network because of my/our receiving any Statement from ACMPL.
- (viii) I/We shall inform ACMPL by way of a letter in writing duly signed by me or inform through internet platform if there is any change in the Email given above.
- (ix) I/We undertake to check the Statement and bring the discrepancies to your notice within the time period as specified under the relevant agreements entered into with ACMPL. My/Our non-verification or not accessing the Statement on regular basis shall not be a reason for disputing the same at any time.
- (x) I/We shall inform in writing in prescribed format to ACMPL to discontinue the said facilities. I/We and ACMPL shall have the right to terminate such service provided a written notice is given atleast 10 days in advance to the other party.

I/We shall immediately inform ACMPL about change in email address, if any. I/We further agree that ACMPL will not be responsible for nonreceipt of documents sent via electronic delivery due to change in/incorrect email address/correspondence address as mentioned or any other reason which inter alia include technical reasons or malfunction of my/our computer system/server/internet connection etc. Further I/We agree and undertake to indemnify ACMPL and its group companies, that they will not be held liable/ responsible for any losses, claims damages, cost and liabilities etc. caused to me/us due to misuse of this information or pursuant to any litigation cases, complaints, actions, etc that may arise in future on account of my/ our above authorization request.

- I/We am/are aware that the Member has to provide physical contract note in respect of all the trades placed by me/us unless I/We myself/ourselves want the same in the electronic form.

3. I/ We hereby declare that there is no action pending against me/us by any regulatory authority for violation of any law. There are no unpaid fines/ penalties levied on me/us. I/ We have not violated any law applicable to us or circular/regulations issued under any law as on date. I/ We have no legal or regulatory proceedings pending against me/us for any financial crimes or violation of Securities laws initiated by SEBI/ RBI/ Banks or any other financial institution.

Pursuant to my/our instructions, I/We hereby authorize ACMPL, to invest the balance lying in the my/our ledger account, demat account and/or bank account, in the units of mutual fund and/or in such other financial products of as specified above and redeem in part or full as and when to meet my account obligations with ACMPL.

**DECLARATION**

- i. I am aware that the mobile number shall be updated in all your records and databases including trading and demat account.
- ii. I declare that the registered is my personal mobile number and is always in my safe custody. I shall keep ACMPL informed of any change in my mobile number immediately for updating the same in databases. I am aware that without intimation for change in mobile number, ACMPL would not be able to send my Password over new mobile number and also would not be able to update in records and databases.
- iii. I am aware that in case of change in my mobile number anytime after opening of this account, it is mandatory for me to submit the photocopy of a latest/last two months Mobile bill for postpaid connection. If I have prepaid connection then I have to visit personally to the Branch/FANs alongwith my ID proofs (E.g.: Pan Card or Driving License) and get the documents verified by the branch officials.
- iv. I will be solely responsible for the security of the information provided on my aforesaid mobile number. Further,
- v. I agree and undertake to indemnify ACMPL and its group companies, that they will not be held liable/responsible for any losses, claims, damages, cost and liabilities etc. caused to me due to misuse of this information or pursuant to any litigations, non-litigation cases, complaints, actions, etc. that may arise in future on account of my above authorization request.
- vi. I/We confirm having received a hard copy of the following documents and further confirm having read and understood the contents of these documents.
  - SMS Alerts Facility by depository : I/ We consent to CDSL / NSDL providing to the service provider such information pertaining to account/ transactions in my/ our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number. I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ We further undertake to pay fee/ charges as may be levied by the depository from time to time. I/ We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.
  - Terms & Conditions For Distribution of Securities.
  - Terms and Conditions for availing Mutual Fund Service System (MFSS) facility and BSE StAR MUTUALFUND offered by NSE and BSE respectively.
  - Terms and Condition for availing systematic investment in Mutual fund/Securities
- vii. I/We declare and confirm that the authorisation will not be applicable for the product / services not opted by me/us
- viii. I/We hereby authorise the representatives/employees of ACMPL or its group companies to give call on my/our registered mobile number and send any messages & emails on my registered mobile number & Email ID as mentioned in the account opening form to share any information/alert/message including but not limited to research data, trading calls, marketing and promotional messages/calls with reference to any products, promotions etc.

	Authorised Signatory	Authorised Signatory	Authorised Signatory
SIGNATURE			



- I/We am/are aware that the Member has to provide electronic contract note for my/our convenience on my/our request only.
  - Though the Member is required to deliver physical contract note, I/We find that it is inconvenient for me/us to receive physical contract notes. Therefore, I/We am/are voluntarily requesting for delivery of electronic contract note pertaining to all the trades carried out / ordered by me/us.
  - I/We have access to a computer and am/are a regular internet user, having sufficient knowledge of handling the email operations.
  - My email id is \_\_\_\_\_ . This has been created by me/us and not by someone else.
  - I/We am/are aware that this declaration form should be in English or in any other Indian language known to me/us.
  - I am aware that non-receipt of bounced mail notification by member shall amount to delivery of the contact note at the above email id.
- [The above declaration has been read and understood by me/us. I/We am/are aware of the risk involved in dispensing with the physical contract note, and do hereby take full responsibility for the same]

**E. AUTHORISATION FOR ONLINE TRADING SOFTWARE PASSWORD (Applicable in case opted)**

I wish to use online Trading Software in this regards Following:-

- I. Please send my system-generated, internet account first time as well as subsequent, password on the registered mobile numbers. Also, if I ever need to reset the Password later, the same should also be sent by SMS on the above given mobile number. As soon as I receive the system generated Password, I shall login and change it for security reasons. I am aware that system-generated Password will automatically expire in 72 hours from the time of sending.
- II. In the event that I do not receive the Password on SMS within 24 hours of opening of account or request for reset of Password, I shall contact the Customer Service Department of the ACMPL immediately and request for re-setting of my Password.
- III. I have been explained that I shall set my new Password as may be easy to remember for me, but should not be easy to decipher for anybody else and should be preferably alpha-numeric and not my or any family member's name/surname.
- IV. I am fully aware of the risk of electronic loss/ theft and agree to be solely liable and responsible for the same as well as for any unauthorized use/ misuse of the Password. I understand ACMPL will not be responsible or liable in any manner whatsoever for the same.

**F. Availing ACMPL Distribution Services (Applicable in case opted)**

I/We understand that:

(a) ACME Capital Market Pvt. Ltd. (herein after referred as ACMPL), its associates and subsidiaries are engaged in providing various financial services including distribution and execution services of various financial products. Accordingly, for providing said services ACMPL and its associates may earn fees (including arranger fees) or commission from issuers of mutual funds, alternative investment funds, venture capital funds, debt instruments etc.

(b) Apart from above, investment may be made in securities of associates, investment transaction may be done with ACMPL, its associates and group companies of ACMPL as counterparties and ACMPL, including its subsidiaries and associates may receive various form of remuneration linked to distribution services offered to the Client.

I/ We hereby agree to avail services like distribution of securities / financial products including but not limited to following offered by ACME Capital Market Pvt. Ltd..

Initial Public Offer/Follow on Public Offer /Offer for sale

Mutual Funds

Bonds, Debentures

NPS

Other financial products

1. I/We hereby give my/our consent to execute new DDPI in favour of ACMPL and enable ACMPL to my investments & redemptions relating to ACME Capital Market Pvt. Ltd. being availed by me.
2. I/ We hereby authorize ACMPL and its associates to disclose, share, remit in any form, mode or manner, all / any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/ us to associates of ACMPL Group, issuers, brokers, Mutual Funds, AIFs, banks and other relevant parties ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other agencies without any obligation of advising me/ us of the same.



**TERMS AND CONDITIONS FOR AVAILING THE SERVICES OF DISTRIBUTION OF SECURITIES/ FINANCIAL PRODUCTS AND DIRECT INVESTMENT PRODUCTS OFFERED BY IIFL**

ACME Capital Market Pvt. Ltd. being the stock broker is in the business of providing Trading cum Demat Services to its clients. In addition to it, ACME is also engaged in marketing and distribution of IPOs of Securities of Issuers and registered with the Association of Mutual Funds in India (AMFI) as a Mutual Fund Distributor and other financial products including products offered by its affiliates or group companies. ACME provides online/offline facility to apply/purchase/redeem/sale/buyback or otherwise deal in the units of Mutual Funds and other securities including transaction services opted in Account Opening Form (hereinafter referred to as 'transactions').

The Client wishes to avail of the facilities/services through ACMPL on the following terms and conditions set forth herein below. The terms and conditions contained herein are binding on the Client. The Client has agreed to obtain facilities/services from the ACMPL after fully understanding the entire terms and conditions. The terms and

conditions shall be subject to amendment, if any from time to time at the sole discretion of ACMPL and such amendments shall bind on the client. For the purpose of the present Terms and Conditions, National Stock Exchange of India Limited and the Bombay Stock Exchange Limited shall be known as the Exchanges.

- 1) The Client shall authorize ACMPL by executing a DDPI in the favour of the ACMPL to execute instructions of the Client or its authorized representative with regard to the transactions including but not limited to acquire by subscribing to or by purchase of securities and to sell, transfer, endorse the securities (including but not limited to Initial Public Offerings, Buy back offers, right issues etc.) or redeem the same either through Online (using the identification number issued by any Mutual Fund from time to time) or otherwise and/or to sign and execute all transfer deeds whether as transferor or transferee and such other instruments, application and papers as may be necessary for the purpose of acquiring, transferring/redeeming the same, marking pledge/lien on such securities and/or for transferring the investments in the units of Mutual Fund from one scheme to another or between mutual Funds, to make application for, or to renounce and sign renunciation forms in respect of bonds/debentures, right shares and additional shares of any company/Body/Authority and to receive and hold such rights or additional shares, bonds or debentures.
- 2) All instructions given by the Client/ its authorized representative shall be binding on the Client ACMPL may furnish a certified copy of the DDPI and other documents on behalf of the Client to the Company/Registrar/ Mutual Fund or any third party.
- 3) The Client agrees that the instructions with regard to the transactions shall be in the Client's sole name or in the name of the Client jointly with other persons as intimated by the Client.
- 4) The instructions with regard to the transactions may be given through by sending email from registered email ID or by making the call from registered telephone number/mobile number or any other reasonable mode as permitted by ACMPL
- 5) ACMPL may refuse to act on any instructions unless they are given in the manner and form acceptable to ACMPL. However,

ACMPL shall have no responsibility to determine the authenticity of any instructions given or purported to be given by the Client. The Client shall not hold ACMPL liable on account of ACMPL acting in good faith on instructions given by the Client or its authorized representative.

- 6) ACMPL at its own discretion may not carry out the Client's instruction, where the ACMPL has reasons to believe (which discretion of ACMPL the Client shall not question or dispute) that the instructions are not genuine or are otherwise improper/unclear/raise a doubt. ACMPL shall not be liable if any instructions are not carried/ partly carried out for any reason, whatsoever. All transactions are subject to the applicable regulatory norms and/or ACMPL's internal policy requirements.
- 7) The Client understands and agrees that the mutual fund/RTA/Issuer Company/stock exchanges/may cancel, close or reject any contract suo-moto without giving any reason thereof. In the event of such cancellation, closure or rejection, ACMPL shall be entitled to cancel relative contract(s) with the Client and the Client shall not raise any objection and for it and hold ACMPL liable/responsible for it.
- 8) In case of Mutual Funds, the Client agrees and acknowledges that ACMPL shall provide the facilities as agreed between the parties only in respect of the selected Mutual Funds, with whom ACMPL has entered into a separate arrangement/agreement.
- 9) The Client undertakes to read all the relevant Offer Documents and addendums thereto and terms and conditions of all schemes of all mutual funds and other issues of securities including but not limited to Initial Public Offerings/Public Offers, Rights issue and Buy Back offers, offered through ACMPL's website or otherwise; before entering into any transactions the Client agrees to abide by the terms, conditions, rules and regulations as applicable from time to time.
- 10) The Client shall ensure that the transactions through ACMPL are executed in accordance with the applicable laws, byelaws, rules and regulations governing the specific investment product. ACMPL may, from time to time, impose and vary limits on the orders which the Client may place, including but not limited to exposure limits, turnover limits and limits as to numbers. The Client agrees that ACMPL shall not be responsible for any variation or reduction that may be deemed necessary by ACMPL based on its risk perception and other relevant factors.

The Client understands that direct investments in bonds/debentures/equity/equity related instruments/mutual fund/venture capital fund may carry significant liquidity, credit and default risk, pricing risk, including the possible loss of principal amount invested. Past results are not a guarantee of future performance; yield or performance fluctuates and may not be a reflection of past results. The Client shall invest after carefully understanding the Investment products. ACMPL shall not be liable or held liable for any consequences thereof.

- 11) The Client expressly agree and acknowledge that any information contained in the ACMPL's Brochures or other materials or otherwise communicated by ACMPL shall not be constructed as investment advice and that all decisions to purchase or sell units/securities made by the Client and shall be on the basis of own personal judgment arrived at

- after due consideration. ACMPL not assure or promise any bonus, interest, dividend, guaranteed returns and profit.
- 12) ACMPL shall not be under any duty to verify compliance with any restriction on the Client's investment powers.
  - 13) The Client is responsible for the personal and bank related details provided by the Client. Neither ACMPL nor any of the Mutual Funds/Issuers or their respective Registrars shall accept any liability which may arise as a consequence of the erroneous information provided by the Client.
  - 14) The Client authorizes the ACMPL to disclose/share, all such information pertaining to the Client with the companies/entities/subsidiaries/affiliates of ACMPL or their agents' Banks/Financial Institutions/Statutory Bodies as may be required from time to time, for the Client to be able to avail of any or all of the services provided by ACMPL under this arrangement or any other services. The Client shall undertake not to hold ACMPL and/or companies/entities/subsidiaries/affiliates of ACMPL and/or their agents liable or responsible for use of the aforesaid information.
  - 15) In case of change of address and personal details of the Client, the Client shall intimate the same via written letter/email to ACMPL of such change. 16) The Client agrees and understands that the folio number of the Client in respect of the Mutual Fund schemes shall be received by ACMPL from the Asset Management Company.
  - 17) The Client agrees that, without prior intimation and acknowledgement of ACMPL, the Client shall not deal/liase with the Issuer Company/Mutual Fund/Asset Management Company or its respective Registrars in respect of the services availed under this agreement.
  - 18) Further, any change in the details of the Client including but not limited to the Demat account, Bank Account, Address, registered contact number, email ID shall be first intimated to the ACMPL who may in turn liaise with the Issuer Company/Mutual Fund/Asset Management Company or its respective Registrars to update such changes. The Issuer Company/ Mutual Fund/ Asset Management Company or its respective Registrars may reject such requests and in such an event ACMPL shall not be liable for any such rejection.
  - 19) The Client further agrees that the Client shall not close/change the details of the Bank account without prior notification to ACMPL and the Client agrees that ACMPL may instruct Bank of the Client to reject any such request received from the Client.
  - 20) The Client shall provide ACMPL with its Permanent Account Number (PAN). In the event the Client has mentioned "Not Applicable" against PAN in the Application Form, the Client confirms that the Client is exempted from obtaining a PAN under the provisions of the Income Tax Act, 1961. However, in the event the Client id/application is for Rs. 50,000 or more and PAN is not provided, the Client shall be required to submit Form 60 or Form 61 as the case may be together with permissible documents as proof of address.
  - 21) The Client acknowledges that the purchase / application instructions shall be processed by ACMPL only after sufficient funds to cover the purchase / application price and other costs and charges are received by ACMPL.
  - 22) If after execution of any transaction it is for any reason found that ACMPL has not been provided with sufficient funds by the Client, the Client shall pay the deficient amount to ACMPL forthwith on demand, failing which ACMPL may (but shall not be bound to) square up the transaction at any time at the Client's sole risk and cost. Any loss arising on such squaring up will be borne solely by the Client and the Client shall pay to the ACMPL the additional amount that may be payable by the Client, the ACMPL's demand being conclusive.
  - 23) The Client declares and confirms that the amount being invested by the Client. Client either directly or through its DDPI holders, in any schemes of all mutual funds or other securities including but not limited to Initial Public Offerings/Public Offers, Rights issue and Buy Back offers is obtained through legitimate sources and is not held or designed for the purpose of contravention of the provisions of any Act, Rules and Regulations or any statute or legislation or any other applicable Laws or any Notifications, directions issued by any Governmental or Statutory Authority from time to time.
  - 24) In case the Client is a Non-resident Indian, the Client confirms that the funds remitted from abroad are through approved banking channels or from the NRE/NRO/FCNR account.
  - 25) If for any reasons, ACMPL is unable to carry out the transactions as instructed by the Client/Client's authorized representative to the extent of full quantity of units/securities, the ACMPL shall be entitled at its discretion and the Client hereby irrevocably authorizes the ACMPL to carry out a transaction of a lesser quantity of units/securities. ACMPL shall not be responsible for the non-execution of the Client's instructions for the entire quantity or the remaining quantity.
  - 26) The Client agrees and acknowledges that any instruction given or purported to be given by the Client/its authorized representative before the cut off time as may be intimated by the ACMPL to the Client from time to time, will be processed on the same day. Any instruction received after the cut off time will be processed on the next working day, if applicable.
  - 27) In case of Mutual Fund, applicable Net Asset Value (NAV) shall be as per the Offer Document and SEBI Rules and Regulations. The units of scheme shall be allotted, redeemed or switched, as the case may be, at the NAV prevalent on the date of the application, if the application for purchase, redemption or switch is received by the Fund before the cut-off time as specified on the website and consistent with the terms of the scheme. Any request falling due on holiday would be processed on the next business day and respective NAV would be applicable as per Mutual Funds offer documents.
  - 28) In case of other securities, the order for purchase, sell, offer under Buy Back etc. shall be accepted by ACMPL only if the same is received by the cut off date as indicated on the website and consistent with the terms of the offer.
  - 29) The Client agrees and acknowledges that after the first purchase transaction in any Mutual Fund, the Client may not be permitted to transact till the folio number is allotted. ACMPL does not accept any liability for delay in processing time at the Mutual Fund's or Registrar's end.
  - 30) ACMPL shall credit the proceeds of the sale / redemption etc., for any of the Investment Products only after ACMPL has received the same unless specifically agreed otherwise.
  - 31) The Client acknowledges that ACMPL or its representatives shall not be under any obligation to provide him with any tax, legal, accounting, investment advice or advice regarding the suitability or profitability of investment of any kind, nor does ACMPL or its representatives give any device

- or offer any opinion with respect to the nature, potential value or suitability of any particular transaction or investment strategy.
- 32) It is explicitly stated herein that the Mutual Fund Schemes/ Offer Documents/other schemes offered by ACMPL, have not been/shall not be understood as recommended by the ACMPL.
- 33) The Client can view his/her/its transactions on the website. A physical copy of the transactions statement or the account statement shall be sent by ACMPL only on a written request from the Client.
- 34) In case an application is made for Initial Public Offer/Public Offer/Units of Mutual Fund through ACMPL, the Client authorizes ACMPL to collect on Client's behalf, the refund amount, if any, from the Issuer Company/Registrar/Asset Management Company/Mutual Fund and subsequently credit the same to Client's Bank account, after set-off/ adjustment of dues payable by the Client on account of obligations incurred in connection with the application.
- 35) The Client further agrees that ACMPL shall not be held responsible for non-allotment of securities either fully or partly to Client, for any reason whatsoever. ACMPL shall not be held responsible in case due to some reason the bid/application/revision instructions sent by Client is not received by it, or if the bid/application/revision could not be uploaded to the Stock Exchange, or could not be sent to the Bankers/Registrar to the issue.
- 36) ACMPL shall not be held responsible for non-receipt/delay in/incorrect receipt of fund, if any, from the Registrar/ Company. ACMPL shall not be held responsible for incorrect Tax Deduction at Source (TDS) by the Registrar/company, if applicable, or for nonreceipt or delay in/ incorrect receipt of TDS Certificate, if any from the Registrar/Company/ Mutual Fund.
- 37) ACMPL shall not be liable for any loss or damage caused by reason of failure or delay of the mutual fund to deliver any units purchased even though payment has been made for the same or failure or delay in making payment in respect of any sold though they may have been delivered.
- 38) The Client understands that the corporate actions including but not limited to Dividends, declared by the Issuer Company/Mutual Fund shall be directly paid by the Issuer Company/Mutual Fund to the Client's bank account as detail provided by the Client.
- 39) ACMPL shall also not be liable to the Client for any delay, failure or refusal of the Mutual Fund/any Issuer Company / Corporation or other body in registering or transferring units to the names of the Clients of for any interest, dividend or other loss caused to the Client arising therefrom.
- 40) The Client agrees to provide ACMPL with any confirmation/ declaration or any other document that the concerned Issuer/Asset Management Company or any other entity may from time to time require ACMPL to collect from the Client in respect of the services offered under this agreement.
- 41) ACMPL shall not be responsible for any changes in the data of any scheme as carried out in the Offer document or any other documents/material issued by Asset Management company/Issuer Company/Mutual Fund.
- 42) ACMPL does not accept any liability for delay in processing time at the Mutual Fund's/Issuer or Registrar's end. The Client agrees that ACMPL shall not be liable or responsible for non execution of any transactions for any reason, whatsoever.
- 43) Neither ACMPL, nor any of the Mutual Funds/nor the issuer shall be liable for any failure to perform its obligations, to the extent that such performance had been delayed, hindered or prevented by systems failures network errors, delay or loss of data due to the aforesaid, acts of God, floods, epidemics, quarantine, riot or civil commotion and war.
- 44) The Client agrees and understands that the application in Mutual Fund/Initial Public Offering shall be subject to the applicable Acts, Rules, Regulations, guidelines, circulars, notifications, and directives issued by the Regulatory Authorities and Offer Document issued by the respective Mutual Fund/Issuer.
- 45) The Client further understands and agrees that he/she shall not place trades at unrealistic prices from current market price of the security or trade in illiquid securities which create artificial liquidity or amounts to manipulation of prices or cross/synchronized trades.
- 46) ACMPL shall provide its services on a best efforts basis. However ACMPL shall not be liable for any failure or for any loss, damage or other costs arising in any way out of:
- System failure including failure of ancillary or associated systems, or fluctuation of power, or other acts of God/force majeure;
  - Accident, transportation, neglect, misuse, errors, frauds on the part of the Client or any agent of the Client or agents or any third party, or
  - Any fault in any attachments or associated equipments of the Client
  - Any incidental, special or consequential damages including without limitation of loss of profit.
- 47) In the event of disputes, differences, claims and questions between the Parties arising out of these Terms and Conditions or in any way relating hereto or to any provision hereof or the construction or interpretation thereof, the Parties shall first endeavor to settle the same by friendly consultation and, failing such settlement, Both the parties agree to refer any claims and/or disputes to arbitration as per the Rules, Byelaws and Regulations of the Exchange/regulators and circulars issued thereunder in accordance with the provisions of the Indian Arbitration and Conciliation Act, 1996. The language of Arbitration shall be English. The award of the arbitrator shall be final and binding.
- 48) The Client understand that all disputes and differences arising out of, under or in connection with these terms and conditions or anything done hereunder shall be within the exclusive jurisdiction only to the courts of Mumbai. Further these terms and conditions are subject to and shall be construed in accordance with the laws prevalent in India.
- 49) The Client understands that in cases of gross negligence, or willful default of Client, ACMPL shall be indemnified by the Clients for, any and all costs, charges, expenses, claims, losses or liabilities of any nature (direct or indirect) including reasonable legal fees and expenses incurred and/or suffered by ACMPL resulting from any act of omission/commission (or any delay) being committed by the Client.

**SIGNATURE**26  
26





FOR OFFICE PURPOSE ONLY

1. CLIENT RISK CATEGORISATION UNDER PMLA:  LOW RISK  MEDIUM RISK  HIGH RISK

2. SOURCING & SERVICE RM/ SALES EXECUTIVE/ FAN NAME & CODE

	CODE	NAME
Introducer (E1-Sourcing Sales Employee)		
Group Code (E2-Servicing Sales Employee)		
Remiser (if any)		
Branch / FAN		

3. SUBMISSION CHECKLIST

1. Complete Documentation Received  Yes  No If No, give details \_\_\_\_\_

2. "Verified with Original" stamp affixed on proofs  Yes  No

	Documents verified with Originals	Client Interviewed By	In-Person Verification done by
Name of the Employee			
Employee Code			
Designation of the employee			
Date			
Signature			

3. "PAN verified" stamp with Employee name & signature affixed on proof  Yes  No

4. Margin Money collected  Yes  No Amount in Rs. \_\_\_\_\_ Rs. (in words) \_\_\_\_\_

Chq./ CMS No.: \_\_\_\_\_ Chq./ CMS. Date:           Bank Name \_\_\_\_\_

I/We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note.

I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

I have read and understood that if any of the requirements is not fulfilled then a penalty would be charged to me or any other action may be taken as deemed fit by the Management for non compliance from my end.

Branch Address : \_\_\_\_\_

\_\_\_\_\_ Pincode : \_\_\_\_\_

Name of the Employee of ACMPML: \_\_\_\_\_ Employee Code : \_\_\_\_\_

Employee Signature & Stamp :

Date

4. KRA REGISTRATION DETAILS (FOR OFFICE PURPOSE ONLY)

If Client is already registered with KRA please fill in below details:

NDML  CVL  DOTEX  Others (Please Specify) \_\_\_\_\_

(Please furnish photocopy of KRA Registration letter)

KRA Registration No.: \_\_\_\_\_

Date of Registration:



(SPECIMEN COPY OF THE BOARD RESOLUTION) To be provided by the Corporate clients on the letterhead

CERTIFIED TRUE COPY OF A RESOLUTION PASSED BY THE BOARD OF DIRECTORS OF THE COMPANY AT THEIR MEETING HELD ON \_\_\_\_\_, 20\_\_\_\_ AT THE REGISTERED OFFICE OF THE COMPANY

"RESOLVED THAT the Company be and is hereby authorised to open a Demat account with ACME Capital Market Pvt. Ltd., Member of Bombay Exchanges Limited (BSE) National, Exchanges of India Limited (NSE), Multi Commodity Exchange of India Ltd. (MCX), National Commodity & Derivatives Exchange Ltd. (NCDEX) and Depository Participant of National Securities Depository Limited (NSDL) and Central Depository Services (India) Limited (CDSL) for purpose of dealing in Securities in Capital/Cash, Derivatives/F&O, Debt & Currency Derivative segment and the said Member be and is hereby authorized to honor instructions oral or written, given on behalf of the Company by any of the following authorized signatories:

Table with 4 columns: Sr. No., Name, Designation, Specimen Signature. Contains 3 rows for authorized signatories.

RESOLVED FURTHER THAT the abovementioned officials are authorized to sell, purchase, transfer, endorse, negotiate and / or otherwise deal in securities through ACMPL Securities Limited on behalf of the Company. RESOLVED FURTHER THAT the abovementioned officials be and are hereby authorized to jointly / severally sign, execute and submit such applications undertaking, agreements DP instructions and other requisite documents, writings and deeds as may be deemed necessary or expedient to open and account and give effect to this resolution."

RESOLVED FURTHER THAT all the abovementioned officials would have authority to act on behalf of the Company and their actions will be binding on the Company"
RESOLVED FURTHER THAT the Common Seal of the Company be affixed, wherever necessary as per the applicable provisions of the Articles of Association of the Company, in the presence of any of the Directors of the Company who shall sign the same in token of their presence,"
For \_\_\_\_\_
Managing Director/ Company Secretary

FORMAT FOR BANK VERIFICATION LETTER

Bank verification letter form including fields for To, ACME Capital Market Pvt. Ltd., Noida, Dear Sir, and a section for the Authorized Signatory with a signature box and a stamp area.

FORMAT OF DECLARATION TO BE GIVEN BY PARTNERS ON LETTERHEAD OF THE FIRM

Declaration text starting with 'To, ACME Capital Market Pvt. Ltd. 208 & 209, Ocean Complex, P Block, Sector-18, Noida - 201301' and a list of 3 points regarding account opening and PAN acceptance.

Table with 2 columns: NAME OF PARTNERS\* (IN BLOCK LETTERS), SIGNATURES\*. Contains 3 rows for partner signatures.

\*(to be signed by all the partners of the firm under stamp of the firm)

**FORMAT OF DECLARATION OF BENEFICIAL OWNERS  
(REQUIRED AS PER SEBI CIRCULAR NO. CIR/MIRSD/2/2013 DATED JANUARY 24, 2013)**

**I. For clients other than Individual and trust**
**A - Controlling ownership interest through capital & profits (Refer Note 1)**

		% of Ownership
1.		
2.		
3.		
4.		
5.		

**B - Control over the juridical person through other means (Refer Note 2) (Applicable where no natural person exerts control as per A above)**

Sr. No.	Name of the natural person	Details of control through voting rights, agreement, arrangements or in any other manner
1.		
2.		
3.		
4.		
5.		

**C - Name of the natural person holding position of Senior Managing Official (Applicable where no natural person is identified in A & B above)**

Sr. No.	Name of the natural person	Position/ designation of Senior Managing official
1.		
2.		
3.		
4.		
5.		

**II. For clients which is Trust**

<b>Name of Trust</b>	
<b>Trustee</b>	1.
	2.
	3.
<b>Protector</b>	1.
	2.
	3.
<b>The beneficiaries with 10% or more interest in the trust</b>	1.
	2.
	3.
<b>Name(s) of any other natural person exercising ultimate effective control over the trust through a chain of control or ownership</b>	1.
	2.
	3.

For \_\_\_\_\_ (Name of the client)

**SIGNATURE**


Name \_\_\_\_\_ Designation \_\_\_\_\_

Date: \_\_\_\_\_

Place: \_\_\_\_\_

**NOTES:**

 1) Controlling ownership interest through capital & profits: List of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest.

Sr. No.	Where the juridical person is	Ownership of/entitlement to
1	A company	More than 10% shares or capital or profits
2	A partnership	More than 10% capital or profits
3	An unincorporated association or body of individuals	More than 10% of the property or capital or profits

 2) **Control over the juridical person** (including person who purports to act on behalf of juridical person or individual or trust **through other means** - where there exists doubt under clause IA above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership, provide list of natural person exercising control through other means i.e. through voting rights, agreement, arrangements or in any other manner.

**Note:** Kindly submit shareholding / ownership details in case 10% as mentioned above is held by Juridical person till beneficial owner is satisfactorily identified



**OTHER INFORMATION ABOUT ACMPL**

**LIST OF DESIGNATED BANKS**

1. HDFC BANK      2. IDBI BANK      3. BANK OF BARODA

**LIST OF DESIGNATED DEPOSITORY PARTICIPANTS**

1. ACME Capital Market Private Limited,

**NOTE:**ACMPL reserves the right to make any modifications in the above lists.

**DISCLOSURE OF PROPRIETARY TRADING BY BROKER TO CLIENT:**

We refer to circular no. SEBI / MRD / SE / Cir.42 / 2003 dated 19/11/2003 issued by Securities and Exchange Board of India in connection with the above and in terms of the said circular we disclose that we have not undertaken proprietary trading, arbitrage as well as investment business on our account in addition to Client based business.



ACME Capital Market Pvt.Ltd

# ACME CAPITAL MARKET PVT. LTD.

ACME Capital Market Private Limited, member of Bombay Stock Exchange  
(M. No. 6817), SEBI Registration No. INZ000311839

## CONTACT



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